Data Protection Policy for the
IUCN Secretariat
Code Version Control and History: Data protection policy

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<tr>
<th>Title</th>
<th>Data protection policy (“Policy”)</th>
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<tr>
<td>Version</td>
<td>2018</td>
</tr>
<tr>
<td>Source language</td>
<td>English</td>
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<td>Published in French under the title</td>
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<td>Published in Spanish under the title</td>
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<td>Responsible Unit</td>
<td>Global Information Systems Group (GISG), Human Resources Management Group (HRMG) and Office of the Legal Adviser (OLA).</td>
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<td>Developed by</td>
<td>Global Information Systems Group (GISG), Human Resources Management Group (HRMG) and Office of the Legal Adviser (OLA).</td>
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<td>Subject (Taxonomy)</td>
<td>Personal Data protection</td>
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<td>Date approved</td>
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<td>Approved by</td>
<td>Director General</td>
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<td>Applicable to</td>
<td>As defined in section 2 “Applicability of the Policy”</td>
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<tr>
<td>Purpose</td>
<td>The aim of this Policy is to communicate the general principles and guidelines applicable to the protection of personal data.</td>
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<td>Related Documents</td>
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<td>Distribution</td>
<td>Sent to all staff members worldwide, consultants, volunteers and seconded individuals available on the Union Portal.</td>
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<td>Cover photo</td>
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Document History

| Version 1.0 | May 2018 |

For further information, contact: dataprotection@iucn.org
Definitions

**Anonymisation** means using techniques that seek to conceal the identity and thus identifiers of any nature. Identifiers can apply to any natural or legal person, living or dead, including their dependents, ascendants and descendants. Included are other related persons, direct or through interaction.

**Data Breach** means a breach of security leading to the accidental or unlawful destruction, loss or alteration of – or to the unauthorized disclosure of, or access to – Personal Data transmitted, stored or otherwise processed.

**Data Controller** means the natural or legal person, public authority, agency or other body which, alone or jointly with others, determines the purposes and means of the Processing of Personal Data.

**Data Processor** means a natural or legal person, public authority, agency or other body which processes personal data on behalf of the Data Controller.

**Data Subject** means a natural person (i.e. an individual) who can be identified, directly or indirectly, in particular by reference to Personal Data.

**Data Transfer** mean any act that makes Personal Data accessible, whether on paper, via electronic means or the internet, or any other method to any Third Party not linked in a way or another to IUCN.

**Personal Data** means any information relating to an identified or identifiable natural person. This may include an identifier such as a name or audio-visual materials, an identification number, location data or an online identifier; it may also mean information that is linked specifically to the physical, physiological, genetic, mental, economic, cultural or social identity of a Data Subject. The term also includes data identifying or capable of identifying human remains.

**Processing** means any operation or set of operations – by automated and other means – that is performed upon Personal Data or sets of Personal Data, such as collecting, recording, organizing, structuring, storing, adapting or altering, retrieving, consulting, using, disclosing by transmitting, disseminating or otherwise making available, aligning or combining, or erasing.

**Recipient** means third party, public authority, agency or other body – that is, someone or something other than the Data Subject or IUCN – to which the Personal Data is disclosed.

**Sensitive Personal Data** means specific Personal Data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, or trade union membership, and genetic Data, biometric data for the purpose of uniquely identifying a natural person, data concerning health or data concerning a natural person’s sex life or sexual orientation.

**Third Country** means any other country or jurisdiction outside of Switzerland.

**Third Party** means a natural or legal person, public authority, agency or body other than the Data Subject or IUCN.
1. Introduction

IUCN is committed to safeguarding and protecting Personal Data of private individuals. IUCN is aware of the risks involved, and of the importance of having appropriate data protection standards in place.

In the scope of its mission, which consists of influencing, encouraging and assisting societies throughout the world to conserve the integrity and diversity of nature and to ensure that any use of natural resources is equitable and ecologically sustainable, IUCN needs to gather and use certain information about individuals. These can include members, donors, suppliers, business contacts, visitors to IUCN building, employees and other people the organisation has a relationship with or may need to contact.

Safeguarding the Personal Data of all these persons is an essential aspect of protecting people’s lives, integrity and dignity. The Processing of Personal Data touches all areas of its activity, whether operational or administrative.

This Policy describes the principles to be followed when Processing Personal Data. It also describes how they should be implemented and what needs to be done in case of a Data Transfer and Personal Data Breach event in order to comply with reporting requirements.

The aim of this Policy is to a) comply with national and international data protection laws and regulations, b) protect the rights of data subjects c) protect IUCN from the risks of Data Breach, and d) protect IUCN from undesired legal sanctions which may include hefty fines.

Defined terms appear in Capital letters throughout this Policy and are defined in the Definitions section.

2. Applicability of the Policy

This Policy applies to staff members of the IUCN Secretariat (including hosted staff), regardless of location and office type. It also applies to volunteers working for the Secretariat, and individuals seconded by other organizations to the Secretariat collectively referred to as “IUCN Secretariat Staff”.

Further, it applies to IUCN as a Data Controller or Data Processor with respect to Personal Data relating to Data subjects.

This Policy comprises the internationally accepted data protection principles without replacing the existing national laws. It supplements the national data protection laws. The relevant national law will take precedence in the event that it conflicts with this Policy or it has stricter mandatory requirements than this Policy. In particular, the reporting requirements for data Processing under applicable national laws must be observed. The content of this Policy must also be observed in the absence of corresponding national legislation.

3. Principles of data processing

3.1 Legitimate and fair Processing

IUCN processes Personal Data in a lawful and fair manner in relation to the Data Subject. IUCN only processes Personal Data with respect to this Policy and applicable laws. In order
to do so IUCN ensures that there exists a legal basis of Processing Personal Data such as the following:

3.1.1 Consent of the Data Subject

IUCN ensures that consent is obtained from the Data Subject prior to Processing Personal Data. This Consent is obtained in writing or electronically for the purposes of documentation and is valid only if given voluntarily. If, for any reason, the consent of the Data Subject is not given before Processing Personal Data, it should be secured in writing as soon as possible after the beginning of the Processing. IUCN takes particular care in Processing Sensitive Personal Data and will only do so with prior written consent of the Data Subject.

3.1.2 Legitimate Interest of the IUCN

IUCN may process Personal Data without express consent if it is necessary to enforce a legitimate interest of IUCN.

3.1.3 Contractual obligation

IUCN may process Personal Data in order to enforce a contract entered into with the Data Subject or to comply with a contractual obligation.

3.1.4 Compliance with a legal obligation

In other cases, the Processing of Personal Data may be necessary to comply with applicable law.

3.1.5 Public interest

IUCN may process Personal Data for the performance of a task carried out in the public interest or in the exercise of official authority vested in IUCN through its mandate or Statutes.

3.2 Transparency

IUCN processes Personal Data in a transparent manner.

Communications with the Data Subject must be in clear and plain language, easily accessible and easy to understand. IUCN Secretariat Staff must provide the Data Subject with sufficient information about the data Processing when Personal Data is obtained. The minimum information to be provided is included in section 4.1 Right to receive information.

IUCN Secretariat Staff Processing Personal Data will decide how this information is to be communicated after taking into account security measures and the urgency of Processing.

3.3 Restriction to a specific purpose

When collecting Personal Data, IUCN Secretariat Staff in charge determines the specific purpose(s) for which data are processed, and only processes them for those purposes. All Personal Data collected should be clearly documented including the purpose for collection.

3.4 Adequate and relevant data

The Personal Data handled by IUCN must be adequate, relevant and limited to what is necessary in relation to the purpose for which it is processed. This means that IUCN Secretariat Staff should not process Personal Data unless it is necessary to process it in order to achieve the purpose for which it was obtained.
3.5 Accuracy

IUCN Secretariat Staff must ensure that Personal Data kept on file is correct and kept up to date. Inaccurate or incomplete Personal Data should be rectified or deleted. The exception to this principle would be in case a legitimate interest exists to retain Personal Data. Historical data, accurate at the time of collection can be kept for as long as it is required to be kept. Once historical data is no longer necessary it should be deleted.

3.6 Integrity and confidentiality

IUCN Secretariat staff must treat Personal Data in a confidential manner. They must ensure that Personal Data is securely stored with suitable organizational and technical measures to prevent unauthorized or illegal Processing.

3.7 Retention, destruction and archiving of data

IUCN keeps Personal Data for as long as it is necessary to perform its activities and as is required by applicable law. Personal Data not useful for IUCN should be deleted unless if required by national legislation to be retained for a certain period of time. IUCN will also delete Personal Data if the Data Subject withdraws his or her consent for Processing unless there exists another legal basis of Processing the Personal Data.

IUCN may store Personal Data for archiving purposes for a determined period compatible with applicable laws.

4. Rights of the Data Subjects

IUCN respects rights conferred to Data Subjects to ensure protection of Personal Data. These rights include:

4.1 Right to receive information

At a minimum, IUCN Secretariat Staff must provide the Data Subject with the following information when Personal Data is obtained:

- whether IUCN is the Data Controller;
- the purpose of Data Processing;
- third-parties to whom the data might be transmitted;
- the existence of this present Policy;
- the focal point for questions/concerns or complaints.

This information should be communicated to the Data Subject even in cases where the Personal Data was not obtained directly from the Data Subject.

4.2 Right to access

The Data Subject may request which Personal Data relating to him or her has been collected and stored, how the Personal Data was collected, and for what purpose. Requests from the Data Subject wishing to verify what Personal Data is held by IUCN must be submitted in writing using the online form located on each IUCN portal.
Disclosure of Personal Data should not be automatic. IUCN Secretariat Staff must consider all the circumstances surrounding the request for access and any restrictions to access that may be applicable. Access to Personal Data will only be given to the Data Subject if his or her identity can be verified.

4.3 Right to rectification

If Personal Data is incorrect or incomplete, the Data Subject can request that it be corrected or supplemented. This will only be considered if the identity of the Data Subject can be verified. Upon verification of the allegation, IUCN will make the necessary change(s). In certain circumstances historical data may need to be kept in accordance with section 3.5 Accuracy.

4.4 Right to erasure – “Right to be forgotten”

The Data Subject may request his or her Personal Data to be deleted if the Processing of such Personal Data has no legal basis, or if the legal basis has ceased to apply. The same applies if the purpose behind the Data Processing has lapsed or has ceased to be applicable for other reasons.

However, the right to erasure does not apply, and Personal Data will continue to be retained:

✓ for the implementation of the Mission of IUCN;
✓ if it serves a public interest;
✓ for historical, statistical and scientific purposes; or
✓ for the establishment, exercise or defence of legal claims;
✓ for other legitimate interests (legal and financial).

4.5 Right to Personal Data portability

The Data Subject has the right to receive his or her Personal Data in a structured, commonly used and machine-readable format and has the right to transfer such Personal Data to another Data Controller provided the Processing was based on consent or was necessary for the performance of a contract and was carried out by automated means.

Where technically feasible the Data Subject may request IUCN to transfer his or her Personal Data to another Data Controller.

4.6 Right to object

The Data Subject may object at any time, on compelling legitimate grounds relating to their particular situation, to the Processing of Personal Data concerning them. Such objection will be accepted if the fundamental rights and freedoms of the Data Subject in question outweigh IUCN’s legitimate interests, or the public interest.

An objection to Personal Data Processing does not apply if a legal, contractual or financial provision requires the Personal Data to be processed.

4.7. Right to restriction of processing

The Data Subject has the right to restrict the Processing of his or her personal data where there exists a particular reason for the restriction. This means that the Data Subject can limit the way that an organisation uses his or her Personal Data. This may be because:

✓ the accuracy of the Personal Data is contested by the Data Subject;
the Processing is unlawful and the Data Subject opposes the erasure of the Personal Data and requests the restriction of their use instead;

IUCN no longer needs the Personal Data for the purposes of the Processing, but the Personal Data is required by the Data Subject for the establishment, exercise or defence of legal claims;

the Data Subject has objected to the Processing pending the verification whether the legitimate grounds of IUCN override those of the Data Subject.

4.8. Automated individual decision-making, including profiling

The Data Subject has the right not to be subject to a decision based solely on automated processing, including profiling, which produces legal effects concerning him or her or similarly significantly affects him or her.

5. IUCN commitments

5.1 Responsibility/Accountability

It is the responsibility of IUCN Secretariat Staff to ensure that Personal Data processed for or on behalf of IUCN, is in compliance with this Policy.

5.2 Arrangements with our partners (including consultants)

In particular, when IUCN collaborates with another entity in Processing Personal Data, IUCN Secretariat Staff should ensure that the responsibilities of all the parties concerned as described in this Policy are outlined very clearly and set out in a contract or other legally binding arrangement.

5.3 Data protection by design and by default

In particular, while designing a database and drafting procedures for collecting Personal Data, the principles of data Processing and the rights of data subjects stipulated in the present Policy must be taken into account and incorporated to the greatest extent possible.

5.4 Data security and storage

IUCN Secretariat Staff should process Personal Data in a manner that ensures an appropriate degree of security. This includes prevention of unauthorized access to or use of Personal Data and the equipment used for data Processing. This relates in particular to access rights to databases, physical security, computer security and network security, the duty of discretion and the conduct of all IUCN Secretariat Staff who have access to Personal Data.

IUCN undertakes to store electronic equipment and Personal Data safely. IUCN has implemented technical measures to ensure that Personal Data stored electronically is protected from unauthorised access, accidental deletion and malicious hacking attempts. In particular, Personal Data should never be saved directly on laptops or other mobile devices like tablets, smart phones, USB Drives, DVDs, etc. and should be protected by strong passwords, aligned with the IUCN User Account Management Policy.

When Personal Data is stored physically or when Personal Data usually stored electronically has been printed it should be kept in a physically secure place where unauthorised people cannot see it (e.g. in a locked drawer or filing cabinet). Papers and printouts containing Personal Data should not be left where unauthorised people could access them (e.g. on a printer) and should be shredded and disposed of securely when no longer required.
In any case, when retention of Personal Data is no longer necessary, all records should be securely destroyed or anonymised. However, the Anonymisation of the Personal Data is allowed if this is necessary to IUCN’s Mission.

5.5 Newsletters

It is the responsibility of IUCN Secretariat Staff in charge of newsletters to ensure that express consent is obtained from the Data Subjects and recorded.

Where the Data Subject has not given his or her express consent to receive newsletters, his or her Personal Data should be deleted.

5.6 Data Breaches

Any breach of security leading to the accidental or unlawful destruction, loss or alteration of – or to the unauthorized disclosure of, or access to – Personal Data transmitted, stored or otherwise processed must always be reported using the online form located on each IUCN portal. In the event of a Data Breach, the Director General will ensure there is an appropriate response which means:

5.6.1 Establishing a team to investigate the Data Breach, and develop remedial plan.

5.6.2 Informing the persons affected of the Data Breach without undue delay according to international or local regulations;

5.6.3 Informing the relevant local authorities according to international or local Regulations.

5.7 No commercial use of Personal Data

IUCN does not make commercial use of Personal Data.

In case IUCN needs to use Personal Data for commercial purpose in the scope of its mission, IUCN will collect the formal consent of the Data Subject prior to any such usage. IUCN Secretariat Staff will keep this consent on file with the Personal Data.

5.8 Data Transfer

5.8.1 External Data Transfer

IUCN ensures that Personal Data is only transferred to jurisdictions or international organizations that ensure adequate level of protection. Should it be necessary to transfer Personal Data to a Third Country or an international organization that does not provide adequate level of protection, IUCN will ensure that it maintains appropriate safeguards such as entering into appropriate contractual clauses in order to safeguard Personal Data.

When transferring Personal Data to a Third Party, IUCN Secretariat Staff must ensure that:

- the Recipient will apply a protection level equivalent or higher to this Policy;
- appropriate safeguards are put in place where a Third Country or an international organization does not provide adequate level of protection;
- processing by the Recipient is restricted to the purpose authorized by IUCN and;
- data transfer is compatible with the reasonable expectations of the Data Subject.

5.8.2 Data Transfer within IUCN systems
For the sake of clarification, Data Transfer between IUCN Secretariat Staff in different IUCN Offices are permitted and do not necessitate a written agreement provided the principles set out in this Policy are respected.

6. Implementation

6.1 Effective implementation

Effective implementation of these rules is crucial to ensure that individuals are able to benefit from the protection afforded by them.

It is the responsibility of IUCN and IUCN Secretariat Staff to ensure implementation of the above principles.

6.2 Authorized Processing

Personal Data Processing should be in accordance with the purposes authorized by IUCN in the course of executing professional duties.

IUCN Secretariat Staff must not use IUCN Personal Data for private or commercial purposes or disclose it to unauthorized persons.

6.3 Reporting of non-compliance

Allegations of non-compliance with this Policy should be reported using the online form located on each IUCN portal.

IUCN Secretariat Staff who do not adhere to this Policy may be subject to disciplinary measures.

6.4 Consultation and means of communication

IUCN Secretariat Staff may consult with their line managers if they are unsure of any aspects of this Policy.

Personal Data requests from Data Subjects (e.g. for access, rectification or deletion of data) should be submitted using the online form located on each IUCN portal. Any Personal Data requests received via email or in hard copy should be forwarded to dataprotection@iucn.org. A response email will be sent to the Data Subject with a link to the online form asking the Data Subject to complete and submit it.

IUCN will ensure practical communication and training from time to time.

7. Modification of the Policy

This Policy may be updated from time to time. Any modifications to this Policy must be in writing and approved by the Director General.